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## COMPLIANCE SERVICES FOR FINANCIAL INSTITUTIONS

TechGuard Security, LLC (TechGuard) provides hardware, software, and consulting solutions to address the current challenges of Internet security and privacy, specifically the problems of firewall integrity, information management, network vulnerabilities, and security concerns created by e-commerce initiatives and global Internet connections.

TechGuard views network security as an integral component of an organization's operational plan, tailored to the structure, business model and goals of the organization. Network security and regulatory compliance (GLB, NCUA, FDIC) is achieved through a careful examination of client practices, implementation of best-practices security policies and products, and ongoing monitoring of the security posture.

TechGuard's Security Experts are devoted to understanding our the organizations business needs, working as a team with our clients' staff, and delivering excellent results to our clients on time. We are committed to earning our clients' trust and dedicated to preserving the confidentiality of the results of enterprise vulnerability assessments.

### METHODOLOGY

We follow a proven approach based on the National Security Agency's *INFOSEC Assessment Methodology* (IAM) and the National Institute of Standards and Technology (NIST) *Computer Security Guidelines* to assess and improve the security posture for information systems. TechGuard completes a comprehensive and detailed assessment of the customer's security to ensure compliance, including:

Security Documentation	Security Roles and Responsibilities
Identification & Authentication	Account Management
Session Controls	External Connectivity
Telecommunications	Auditing
Virus Protection	Contingency Planning
Maintenance	Configuration Management
Back-ups	Labeling
Media Sanitization/Disposal	Physical Environment
Personnel Security	Training & Awareness
Policies/Plans	

### DELIVERABLES

A technical binder containing:

- Executive summary of our findings and recommendations.
- Information reconnaissance material gained during testing and auditing.
- A list of vulnerabilities categorized by IP address and sorted by risk level.
- Detailed mitigation instructions for each vulnerability
- Recommendations for the network security architecture
- Network Map (optional)